

# We Are Trusted Advisors

In:

- ✓ Risk & Regulatory Advisory
- ✓ Technology Risk, IT Audit & Cybersecurity

More

## Risk & Regulatory Advisory

1

### Internal Audit

Outsource, Co-Source, Staff Augmentation

Internal Audit Benchmark Survey

2

### Regulatory Compliance

Compliance with Multiple Federal, State and International Regulations

3

### Enterprise Risk Management

Risk Identification, Assessment, Design, Remediation, Implementation

4

### Advisory & Third Party Attest

Business Process Management, Controls, Testing, Readiness, Attest

Threat Intelligence Brief



## Technology Risk, IT Audit & Cybersecurity

5

### Technology Risk

Technology Risk, Risk Director®, Virtual Information Security Officer, Business Continuity Assurance, Vendor Management

Compliance Monthly Newsletter



6

### IT Audit

Cybersecurity, Privacy, IT Risk, Project Assurance, IT Governance, Business Applications

7

### Cybersecurity & Privacy

Cybersecurity Business Risk, Security and Privacy Maturity, Threat and Vulnerability Management, Incident Response, Phishing

We Are

# Trusted Advisors

Trusted Advisor

Specialized Resources: Big 4, Industry

Cost-Effective

Agile

In:

✓ Risk & Regulatory Advisory

1. Internal Audit
2. Regulatory Compliance
3. Enterprise Risk Management
4. Advisory & Third Party Attest

✓ Technology Risk, IT Audit & Cybersecurity

5. Technology Risk
6. IT Audit
7. Cybersecurity & Privacy

More

As the levels of regulatory scrutiny, technical complexity, and business threats surge, so too do the risks and compliance-related issues associated with day-to-day business activities. Management should ask these key questions:

## The Questions

### Risk & Regulatory Advisory

- Does your **Internal Audit** function **meet stakeholder needs** and do you need **skilled resources**?
- Do you face **Regulatory Compliance** challenges?
- Does the senior management and board have an understanding of your **Enterprise Risk Management** risks?
- Are you or your customers looking for **comfort** on key business processes and controls to make effective decisions?

### Technology Risk, IT Audit & Cybersecurity

- Does senior management and the board know your sensitive data, key systems, threats, likelihood and impact of a breach, and how mature the **Cybersecurity & Privacy** program needs to be?
- How strong is your **IT Risk & Compliance** Program?

## The Action

- ✓ We **partner** with our clients, providing **specialized** and **cost-effective** resources while being **agile**.

## The Impact

- ✓ Exceed key stakeholder expectations
- ✓ Greater risk optimization / mitigation
- ✓ Reduced regulatory scrutiny
- ✓ Improved cost / benefit results
- ✓ Stronger risk posture

12 East 49th Street – New York,  
NY 10017

E: [info@accumepartners.com](mailto:info@accumepartners.com)

Contact Us  
Accume Partners



Risk & Regulatory Advisory

# Risk & Regulatory Advisory

Building a roadmap to risk assurance

**Internal Audit**

Outsource, Co-Source,  
Staff Augmentation

1

**Regulatory Compliance**

Compliance with Multiple Federal,  
State and International Regulations

2

**Enterprise Risk Management**

Risk Identification, Assessment, Design,  
Remediation, Implementation

3

**Advisory & Third Party Attest**

Business Process Mgmt., Controls, Testing,  
Program Mgmt., Blockchain, Readiness, Attest

4

# Risk & Regulatory Advisory

Trusted Advisor

Specialized Resources: Big 4, Industry

Cost-Effective

Agile

We are trusted advisors in:

- ✓ Internal Audit
- ✓ Regulatory Compliance
- ✓ Enterprise Risk Management
- ✓ Advisory and Third Party Attest

[More](#)

As the levels of regulatory scrutiny, technical complexity, and business threats surge, so too do the risks and compliance-related issues associated with day-to-day business activities. Management should ask these key questions:

## The Questions

- Does your **Internal Audit** function meet stakeholder needs and do you need **skilled resources**?
- Do you face **Regulatory Compliance** challenges?
- Does the senior management and board have an understanding of your **Enterprise Risk**?
- Are you or your customers looking for **comfort** on key business processes and controls to make effective decisions?

## The Action

- ✓ We **partner** with our clients, providing **specialized** and **cost-effective** resources while being **agile**.

## The Impact

- ✓ Exceed key stakeholder expectations
- ✓ Greater risk optimization / mitigation
- ✓ Reduced regulatory scrutiny
- ✓ Improved cost / benefit results
- ✓ Stronger risk posture

12 East 49th Street – New York,  
NY 10017

E: [info@accumepartners.com](mailto:info@accumepartners.com)

**Contact Us**  
**Accume Partners**



### Risk Based Internal Audit



- ✓ Co-source, outsource, staff-augmentation
  - ✓ Operational, compliance, financial, technology
- ✓ Internal audit development & transformation
- ✓ Merger and acquisition due diligence
- ✓ Fraud / forensic investigations

### Speciality Expertise



- ✓ Regulatory compliance (FS and Non-FS)
- ✓ IT Audit
  - ✓ Cybersecurity & Privacy
  - ✓ Project Assurance
  - ✓ IT Governance
- ✓ Business Applications

### Quality Assurance Review



- ✓ Assess IA activities in conformity with the Institute of Internal auditor's Standards
- ✓ Evaluate Internal Audit's efficiency and effectiveness in carrying out its responsibilities
- ✓ Review Internal Audit reports
- ✓ Identify enhancement activities

### Sarbanes-Oxley / FDICIA



- ✓ Evaluate the design of internal controls
- ✓ Test key controls
- ✓ Business and IT



# Internal Audit

Building a roadmap to risk assurance

More

More

Trusted Advisor

Specialized Resources: Big 4, Industry

Cost-Effective

Agile

# Internal Audit

We are trusted advisors in:

- ✓ Risk Based Internal Audit
- ✓ Specialty Expertise
  - Regulatory Compliance
  - IT Audit
- Quality Assurance Review
- Sarbanes-Oxley / FDICIA

As the levels of regulatory scrutiny, technical complexity, and business threats surge, so too do the risks and compliance-related issues associated with day-to-day business activities. Management should ask these key questions:

## The Questions

- Does your **Internal Audit** function meet stakeholder needs and do you need skilled resources?
- Do you face key **Regulatory Compliance** and **technical challenges**?
- Does your internal audit function need a **Quality Assurance Review** to enhance transparency?
- Does your key operational **processes, controls, and risks** need enhancement to drive business value?

## The Action

- ✓ We **partner** with our clients, providing **specialized** and **cost-effective** resources while being **agile**.

## The Impact

- ✓ Exceed key stakeholder expectations
- ✓ Greater risk optimization / mitigation
- ✓ Reduced regulatory scrutiny
- ✓ Improved cost / benefit results
- ✓ Stronger risk posture

12 East 49th Street – New York,  
NY 10017

E: [info@accumepartners.com](mailto:info@accumepartners.com)

**Contact Us**  
**Accume Partners**



### Cybersecurity, Privacy, IT Risk

- ✓ Cyber Business Risk & Security and Privacy Maturity
- ✓ Threat & Vulnerability Management (e.g. Attack & Pen)
- ✓ Network, Operating System, and Database Security
- ✓ Regulatory Compliance and Frameworks (e.g. ISO, NIST, PCI)
- ✓ Incident Response and Forensics



### Project Assurance

- ✓ Real-Time Project Assurance
- ✓ Portfolio Optimization
- ✓ Controls Outcome and Benefits Realization



### IT Governance

- ✓ IT Risk Assessment / IT General Controls
- ✓ IT Data Governance & Strategy
- ✓ Third Party Risk Management / Vendor Management
- ✓ Business Continuity; Systems Development



### Business Applications

- ✓ Business Process Controls; User Access
- ✓ Systems Interfaces and Data Quality
- ✓ ERP Optimization
- ✓ Automated Controls
- ✓ Software Licensing



# IT Internal Audit

Building a roadmap to risk assurance

More

Trusted Advisor

Specialized Resources: Big 4, Industry

Cost-Effective

Agile

# IT Internal Audit

We are trusted advisors in:

- ✓ Cybersecurity, Privacy, IT Risk
- ✓ Project Assurance
- ✓ IT Governance
- ✓ Business Applications

As the levels of regulatory scrutiny, technical complexity, and business threats surge, so too do the risks and compliance-related issues associated with day-to-day business activities. Management should ask these key questions:

## The Questions

- Does senior management and the board know your sensitive data, key systems, threats, likelihood and impact of a breach, and how mature the **Cybersecurity, Privacy, and IT Risk** functions need to be?
- Do you need **Project Assurance** to increase portfolio optimization?
- Does senior management and the board have an understanding of your **IT Governance** Program?
- Are you looking for comfort on your **Business Applications**?

## The Action

- ✓ We **partner** with our clients, providing **specialized** and **cost-effective** resources while being **agile**.

## The Impact

- ✓ Exceed key stakeholder expectations
- ✓ Greater risk optimization / mitigation
- ✓ Reduced regulatory scrutiny
- ✓ Improved cost / benefit results
- ✓ Stronger risk posture

12 East 49th Street – New York,  
NY 10017

E: [info@accumepartners.com](mailto:info@accumepartners.com)

Contact Us  
Accume Partners



# Cybersecurity & Privacy

Focus:

- ✓ Identifying, evaluating, mitigating key cybersecurity and privacy risks

More

## Spear Phishing / Physical

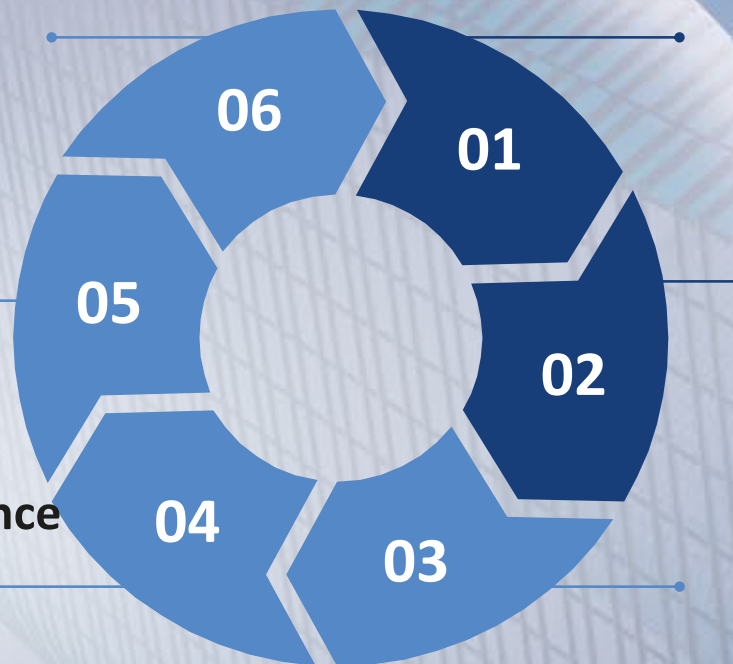
- ✓ Socially engineers client staff
- ✓ Probes for weaknesses within email environment and protocol
- ✓ Simulates social engineering attack

## Incident Response Forensics

- ✓ Provides on call response
- ✓ Delivers expert forensics support
- ✓ Offers root cause analysis
- ✓ Recommended actions
- ✓ Documents incident reporting for various stakeholders

## Incident Response Assurance

- ✓ Evaluates readiness
- ✓ Assesses incident response policies
- ✓ Real World Tabletop exercises



## Cybersecurity Business Risk

- ✓ Identifies business processes, data, systems
- ✓ Identifies, assesses, and manages cybersecurity risks relevant to specific threat sources, their likelihood and impact
- ✓ Provides Board visibility and engagement

## Security and Privacy Maturity

- ✓ Assesses 9 key security and privacy domains
- ✓ Delivers an actionable maturity scorecard
- ✓ Includes observations and recommendations
- ✓ Outlines a strategic roadmap of initiatives

## Threat & Vulnerability Management

- ✓ External and internal vulnerability assessments
- ✓ External and internal pen testing
- ✓ Identifies configuration weaknesses

More

Trusted Advisor

Specialized Resources: Big 4, Industry

Cost-Effective

Agile

# Cybersecurity & Privacy

We are trusted advisors in:

- ✓ Spear Phishing / Physical
- ✓ Incident Response Forensics
- ✓ Incident Response Assurance
- ✓ Cybersecurity Business Risk
- ✓ Security and Privacy Maturity
- ✓ Threat and Vulnerability Management

As the levels of regulatory scrutiny, technical complexity, and business threats surge, so too do the risks and compliance-related issues associated with day-to-day business activities. Management should ask these key questions:

## The Questions

- Has senior management and the board quantified your **Cybersecurity Business Risk** covering the sensitive data, key systems, threats, likelihood and impact of a breach?
- Does senior management and the board know the current **maturity** of the **Cybersecurity & Privacy** program and how mature it needs to be?
- Has a **threat modeling** assessment been performed and how does the organization manage against those threats and make **those efforts and results transparent**?
- What assurance does senior management and the board have on the preparedness and effectiveness of its **Incident Response** Program?
- You have been hacked – now what?
- How is the company protected against **phishing and business email compromise** scams that attempt to trick the recipient into providing confidential information?

## The Action

- ✓ We **partner** with our clients, providing **specialized** and **cost-effective** resources while being **agile**.  
We learn your **risk appetite**, gauge current **program state** and leverage industry standards and best practices **to improve your posture**.

## The Impact

- ✓ Exceed key stakeholder expectations
- ✓ Greater risk optimization / mitigation
- ✓ Reduced regulatory scrutiny
- ✓ Improved cost / benefit results
- ✓ Stronger risk posture

12 East 49th Street – New York,  
NY 10017

E: [info@accumepartners.com](mailto:info@accumepartners.com)

**Contact Us**  
**Accume Partners**



### 01 IT Risk and Compliance Outsourcing Risk Director – Standard

- ✓ Extends risk and compliance department
- ✓ Includes Business Continuity, Vendor Management, IT Risk, Policies and CAT Tool
- ✓ Provides experienced and certified senior resources

### 02 Virtual Info. Sec. Officer (VISO) Services

- ✓ Independent oversight of information security program
- ✓ Single Point of Contact
- ✓ Outsourced program
- ✓ Skilled resources at a fraction of the cost to hire

### 03 Business Continuity Assurance Program

- ✓ Holistic view of continuity planning
- ✓ Expert certified planners guide your company through business impact analysis, risk assessment, plan development, testing and maintenance

### 04 Third Party Risk / Vendor Management

- ✓ Access current program
- ✓ Remediate program
- ✓ On-site assessments
- ✓ Ongoing due diligence of critical vendors

### 05 IT Risk Assessment

- ✓ Risk assessments performed in line with industry standards like ISO 27002 and NIST
- ✓ Established framework

# Technology Risk

Focus:

- ✓ Identifying, evaluating, and mitigating key IT and Compliance Risks

More

More

Trusted Advisor

Specialized Resources: Big 4, Industry

Cost-Effective

Agile

# Technology Risk

We are trusted advisors in:

- ✓ IT Risk and Compliance Outsourcing, Risk Director – Standard
- ✓ Virtual Info. Sec Officer (VISO Services)
- ✓ Business Continuity Assurance Program
- ✓ IT Risk Assessment
- ✓ Third Party Risk / Vendor Management

As the levels of regulatory scrutiny, technical complexity, and business threats surge, so too do the risks and compliance-related issues associated with day-to-day business activities. Management should ask these key questions:

## The Questions

- Does senior management and the board have an effective ongoing process to manage and report **IT Risk**?
- Are security risks being addressed and include a **qualified and independent Security Office role**?
- Is the organization protected against operational and system failures and have tested **Business Continuity** plans in place?
- Has senior management and the board quantified your **IT Risk** including the sensitive data, key systems, threats, likelihood and impact of a breach?
- Does the company have a **Third Party Risk** program that stratifies key vendors, manages ongoing risks, and performs onsite assessments? Is the program an effective practical one that provides real leverage to your firm?

## The Action

- ✓ We **partner** with our clients, providing **specialized** and **cost-effective** resources while being **agile**.
- ✓ We learn your **risk appetite**, gauge current **program state** and leverage industry standards and best practices **to improve your posture**.

## The Impact

- ✓ Exceed key stakeholder expectations
- ✓ Greater risk optimization / mitigation
- ✓ Reduced regulatory scrutiny
- ✓ Improved cost / benefit results
- ✓ Stronger risk posture

12 East 49th Street – New York, NY 10017

E: [info@accumepartners.com](mailto:info@accumepartners.com)

**Contact Us**  
**Accume Partners**